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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/727,531	12/05/2003	Kazuo Sakamaki	Q78334	7327

23373 7590 04/15/2005  
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SUITE 800  
WASHINGTON, DC 20037

EXAMINER
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TALBOT, MICHAEL

ART UNIT	PAPER NUMBER
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3722

DATE MAILED: 04/15/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

10/727,531

Applicant(s)

SAKAMAKI ET AL.

Examiner

Michael W Talbot

Art Unit

3722

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 05 December 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-9 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-4 and 6-8 is/are rejected.
- 7) ☒ Claim(s) 5 and 9 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 05 December 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date 2.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

## DETAILED ACTION

### *Specification*

1. The disclosure is objected to because of the following informalities:

Refer to Page 1, line 11, change character reference "rotary nuts 32" to read

--rotary nuts 33--.

Refer to Page 1, line 23, change the word "an" to --a-- in the phrase "in which an convex and concave" to read --in which a convex and concave--.

Refer to Page 5, line 28, change the word "lienar" to read --linear--.

Refer to Page 6, line 20 and Page 9, line 26, change the character reference "convex portions 10" to either --linear portions 10-- or --convex portions 15--.

Refer to Page 8, line 30, change the word "lateral" to read --linear--.

Appropriate correction is required.

### *Claim Rejections - 35 USC § 102*

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-3,6 and 7 are rejected under 35 U.S.C. 102(b) as being anticipated by Nakamura '320. Nakamura '320 shows in Figures 1-3 a chuck assembly (10) having a rotary sleeve (17) provided on a body (11), a ring member (18,23) engaged with a two piece rotary nut (15) and jaws (12) engaged with the two piece rotary nut to provide forward and backward movement of the jaws for engagement of a tool insert. Nakamura '320 further shows a convex and concave engaging means (19,20) located between the ring member and the rotary sleeve for securing the ring member and rotary sleeve together. Nakamura '320 further shows the

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convex and concave engaging means comprising a concave portion (19) located on the circumferential surface of the rotary sleeve and a linear projection (23) located on the ring member, both at a predetermined angular position.

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1-4 and 6-8 are rejected under 35 U.S.C. 102(e) as being anticipated by Yang et al. '691. Yang et al. '691 shows in Figures 1-4 a chuck assembly (100) having a rotary sleeve (5) provided on a body (1), a ring member (7) engaged with a two piece rotary nut (2) and jaws (3) engaged with the two piece rotary nut to provide forward and backward movement of the jaws for engagement of a tool insert. Yang et al. '691 further shows a convex and concave engaging means (5-1,5-2,7-1,7-2) located between the ring member and the rotary sleeve for securing the ring member and rotary sleeve together. Yang et al. '691 further shows the convex and concave engaging means comprising a concave portion (5-1,5-2) having a window (5-1) and a groove (5-2) located on the circumferential surface of the rotary sleeve and a linear projection (7-2) located on the ring member, both at a predetermined angular position.

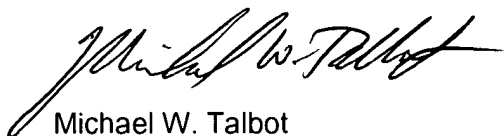
#### ***Allowable Subject Matter***

3. Claims 5 and 9 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

4. Any inquiry concerning the content of this communication from the examiner should be directed to Michael W. Talbot, whose telephone number is 571-272-4481. The examiner's office hours are typically 8:30am until 5:00pm, Monday through Friday. The examiner's supervisor, Mr. Derris Banks, may be reached at 571-272-4419.

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In order to reduce pendency and avoid potential delays, Group 3720 is encouraging FAXing of responses to Office Actions directly into the Group at FAX number 703-872-9306. This practice may be used for filing papers not requiring a fee. It may also be used for filing papers, which require a fee, by applicants who authorize charges to a USPTO deposit account. Please identify Examiner Michael W. Talbot of Art Unit 3722 at the top of your cover sheet.



Michael W. Talbot  
Examiner  
Art Unit 3722



DERRIS H. BANKS  
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